

Exam. Code : 108505
Subject Code : 2101

B.Com. 5th Semester
BCG-512 : FINANCIAL MARKET OPERATIONS

Group—I

Time Allowed—3 Hours] [Maximum Marks—50

Note :— There are *eight* questions. Candidates are required to attempt any *five* questions. All questions carry equal marks.

SECTION—A

1. What is Secondary Market ? What functions does secondary market perform ? What are its various constituents ?
2. Explain the following :
 - (i) Acceptance houses
 - (ii) Discount houses
 - (iii) Call money market.

SECTION—B

3. What is meant by stock exchange ? What are the functions of stock exchange ?
4. Explain the following :
 - (i) Brokers
 - (ii) Market makers
 - (iii) Jobbers
 - (iv) Institutional investors.

SECTION—C

5. What is SEBI ? What are the functions and powers of SEBI ? Also give its guidelines for the public issue of shares.
6. What is the investor protection ? Write a detailed note on the redressal of grievances of investors.

SECTION—D

7. What do you mean by “depository and dematerialization” ? Also explain the procedure of dematerialization of securities.
8. What do you understand by Depository Act, 1996 ? What are the features of depository system ?